

CITS5501 Software Testing and Quality Assurance
Input Space Partition Testing, continued

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Partitions

Suppose we have some parameter Integer n that we're trying to partition.

We divide the domain of n up into positive numbers, negative numbers, and 0. Is that a partition?

nulls – unusual cases

Occasionally in Java methods – we probably won't see many of them – **null** has a special meaning.

- ▶ e.g. the `java.util.TreeMap<K,V>` class allows you to store and look up values of type `V` using “keys” of type `K`. (You might for instance store student's marks in a `TreeMap<Student,Double>`.)
- ▶ The documentation for `java.util.TreeMap.get` says:

```

/** Returns the value to which this TreeMap maps the
 * specified key. Returns null if the TreeMap contains
 * no mapping for this key.
 */
public V get(K key);
  
```


Characteristics

```

/** return true if elem is
 * in list, otherwise return false.
 */
public static boolean findElement (List<Integer> list, Integer elem)

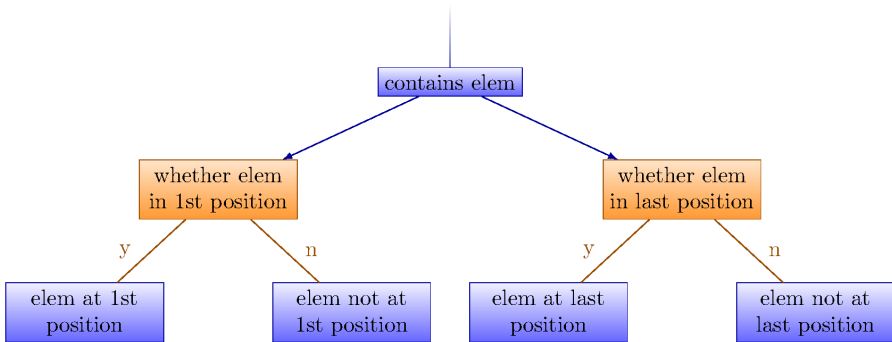
```

What about the our `findElement` method?

(Both its arguments *could* be `null` – but we'll ignore that.)

What are some properties of *lists* that we could partition on?

Our characteristics



More characteristics

- ▶ And there are other characteristics we might come up with.
- ▶ For instance: what happens if the element appears *more* than once? Presumably it shouldn't make a difference, but it doesn't hurt to check.

Bad characteristics

- ▶ Choosing (or defining) partitions seems easy, but is easy to get wrong
- ▶ Suppose we have some program which sorts items in a file F
- ▶ We might pick as a characteristic of F , “the ordering of the file”, and partition it into three partitions:

p_1 = sorted in ascending order

p_2 = sorted in descending order

p_3 = arbitrary order

Bad characteristics

- ▶ But is this really a partitioning?

What if the file is of length 1?

The file will be in all three blocks . . .

That is, disjointness is not satisfied

Bad characteristics

Solution:

Each characteristic should address just one property

- ▶ File F sorted ascending
 - ▶ b1 = true
 - ▶ b2 = false
- ▶ File F sorted descending
 - ▶ b1 = true
 - ▶ b2 = false

In general, it's better to have *many* characteristics, each of which partitions its domain into just a few partitions, than to try and have only a few large and complex characteristics.

Bad characteristics

If we decide we've come up with more characteristics than we want – then we can always ignore a few.

But complex characteristics lead more easily to mistakes, and it is harder to spot and fix those.

Properties of Partitions

- ▶ If the partitions are not complete or disjoint, that means the partitions have not been considered carefully enough
- ▶ They should be reviewed carefully, like any design attempt
- ▶ Different alternatives should be considered

ISP review

Review of steps

Let's review the steps in applying the ISP technique:

- ▶ Identifying testable functions
- ▶ For each function, find all the parameters
- ▶ Model the input domain in terms of *characteristics*
- ▶ Choose particular partitions, and values from within those partitions
- ▶ Refine into test values

We'll now look at these in a bit more detail.

Step 5 – refine combinations into test inputs

- ▶ ... At the end of this step, we have actual test cases.

Input domain modeling

Approaches to Input Domain Modeling

So we said that in step 3, we model the input domain – characterise it and divide it into partitions.

We've done that so far by staring at a method specification and hoping for inspiration.

If we want to try something more principled, there are two general approaches we can take.

Two approaches

1. Interface-based approach

- ▶ Develops characteristics directly from individual input parameters
- ▶ Simplest application
- ▶ Can be partially automated in some situations

2. Functionality-based approach

- ▶ Develops characteristics from a behavioral view of the program under test
- ▶ Harder to develop – requires more design effort
- ▶ May result in better tests, or fewer tests that are as effective

Interface-Based Approach

- ▶ Mechanically consider each parameter in isolation
- ▶ This is an easy modeling technique and relies mostly on syntax
- ▶ Some domain and semantic information won't be used
 - ▶ Could lead to an incomplete IDM
- ▶ Ignores relationships among parameters
 - ▶ It wouldn't come up with the "is the element in the list?" characteristic we saw for
`findElement (List<Integer> list, Integer elem)`

Functionality-Based Approach

- ▶ Identify characteristics that correspond to the intended functionality
- ▶ Requires more design effort from tester
- ▶ Can incorporate domain and semantic knowledge
- ▶ Can use relationships among parameters
- ▶ Modeling can be based on requirements, not implementation
- ▶ The same parameter may appear in multiple characteristics, so it's harder to translate values to test cases

Characteristics

- ▶ Candidates for characteristics :
 - ▶ Preconditions and postconditions
 - ▶ Relationships among variables
 - ▶ Relationship of variables with special values (zero, null, blank, ...)
- ▶ Better to have more characteristics with few partitions

Interface vs Functionality-Based modelling

```
/** return true if elem is  
 * in list, otherwise return false.  
 */  
public static boolean findElement (List<Integer> list, Integer elem)
```

Functionality-Based Approach:

- ▶ Two parameters : list, element
- ▶ Characteristics:
 - number of occurrences of element in list
(0, 1, >1)
 - element occurs first in list
(true, false)
 - element occurs last in list
(true, false)

Interface-Based IDM example – triType

Suppose we have a method

`String triType(int l1, int l2, int l3)` that takes in the lengths of three sides of a triangle, and returns a string telling us what sort it is.

Possible outputs are:

- ▶ “invalid” – not a triangle. E.g. (1, 1, 5), (−5, 3, 4).
- ▶ “equilateral” – all sides are the same
- ▶ “isosceles” – not equilateral and not invalid, and two sides are the same
- ▶ “scalene” – everything else

Interface-Based IDM example – triType

How might we categorize the inputs?

(Applying just the simple interface-based approach.)

Characteristic	l_1	l_2	l_3
q1 = "Rel. of side 1 to 0"	greater than 0	equal to 0	less than 0
q2 = "Rel. of side 2 to 0"	greater than 0	equal to 0	less than 0
q3 = "Rel. of side 3 to 0"	greater than 0	equal to 0	less than 0

- ▶ A maximum of $3 \times 3 \times 3 = 27$ tests
- ▶ Some triangles are valid, some are invalid
- ▶ Refining the characterization can lead to more tests ...

Test criteria

When to stop testing

How do we know when we have tested enough? When should we stop testing? How many tests do we need?

Some possibilities:

- ▶ When all faults have been removed

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Some possibilities:

- ▶ When all faults have been removed
- ▶ When we run out of time
- ▶ When continued testing causes no new failures
- ▶ When continued testing reveals no new faults
- ▶ When we cannot think of any new test cases
- ▶ When some specified *test coverage* level has been attained
- ▶ When we reach a point of diminishing returns

When to stop testing

Some other possibilities:

- ▶ Fault seeding: We deliberately implant a certain number of faults in a program. If our tests reveal $x\%$ of the implanted faults, we assume they have also only revealed $x\%$ of the original faults; and if our tests reveal 100% of the implanted faults, we are more confident that our tests are adequate.

(What assumptions are we making here?)

When to stop testing

other possibilities, cont'd:

- ▶ Mutation testing: We *mutate* parts of our program (e.g. altering constants, negating conditionals in loops and “if” statements). Overwhelmingly, our new mutated program should be *wrong*; if no tests identify it as such, we may need more tests.

(And if some of our tests never seem to kill mutated programs, they may be ineffective.)

When to stop testing

other possibilities, cont'd:

- ▶ Risk-based: We identify *risks* to our project, and put in place strategies (including testing) to mitigate or reduce those risks.

We estimate the effort required for those strategies, and their likely pay-off, and stop when the risk has been reduced to whatever we consider a tolerable level.

(Also applies to “How formally should we specify our system?”)

(In fact, applies to almost every question of the form “How much X should we do?”

Answer: Enough to bring the risks to a tolerable level.)

Test coverage

- ▶ Sometimes test plans will specify that tests ought to have some specified level of *coverage* of the code.
- ▶ *Test coverage* is some measure of the extent to which the source code of a program has been executed when a particular test suite runs.
- ▶ Coverage is often measured using *test coverage tools*.

Test coverage tools

- ▶ How do test coverage tools work?
- ▶ Typically, they do what is called *instrumenting* the code in some way – adding extra instructions which record how many times some piece of code has been executed.
- ▶ This might be done at the source code level, but more often is done at the byte-code or machine-code level.

Java test coverage example

Suppose we want to record test coverage using Jcov. The steps are:

- ▶ Compile code as normal (e.g. using `javac`, an IDE, or a build tool such as `ant`)
- ▶ “Instrument” the compiled bytecode:

```
$ java -jar jcov.jar Instr [class1.class class2.class ...]
```

- ▶ Run our program (or, some test suite). This produces a `result.xml` file.

```
$ java -classpath jcov_file_saver.jar:. MyProg
```

Java test coverage example, cont'd

- ▶ Generate a report from the XML file

```
$ java -jar jcov.jar RepGen result.xml
```

Code coverage reports

Code coverage results are often produced in HTML format, or displayed in the IDE. Fragment of a sample report from Cobertura:

Coverage Report - All Packages

Package /	# Classes	Line Coverage	Branch Coverage	Complexity
All Packages	1215	53% 17976/33348	28% 563/1911	1.802
org.springframework	8	87% 588/670	23% 10/42	1.961
org.springframework.aop	143	51% 1024/1995	29% 39/134	1.103
org.springframework.aop.aspectj	7	83% 39/47	50% 1/2	1.025
org.springframework.aop.framework	4	0% 0/2	N/A	N/A
org.springframework.aop.framework.adapter	170	52% 426/822	14% 536/3642	2.144
org.springframework.aop.target	1	0% 0/3	0% 0/2	1.631
org.springframework.asm	9	95% 300/313	75% 3/4	1.103
org.springframework.beans	6	87% 398/407	0% 0/2	1.033
org.springframework.beans.factory	8	93% 14/15	N/A	N/A

Limits of code coverage tools

- ▶ Code coverage tools give us measures of coverage based on *source code*.
- ▶ But sometimes our tests aren't based on source code as a model
- ▶ For instance, we might be writing tests based on a state chart or activity diagram of the system.
- ▶ And Input Space Partitioning isn't based on *source code*, exactly – it's based on *specifications* for some view of the system (or a part of it) as a *function*. Knowing how many functions or methods were executed as a result of our ISP-based tests isn't a great measure of what degree of coverage the tests provide of the input domain.

General coverage criteria

- ▶ Therefore, we want more general measures of coverage, which can be applied to things other than source code.
- ▶ For each of the types of model-based testing covered in this course (ISP, graph-based, logic-based, syntax-based) we will also look at coverage criteria which let us estimate how thorough our tests are.
- ▶ Our coverage calculations will largely be manual, in this case, since we have no equivalent of a “code coverage” tool to tell us (say) when paths through an activity diagram have been thoroughly executed.

ISP criteria

ISP criteria – interface approach

```
public Triangle triType (int side1, int side2, int side3)
```

- ▶ Simply considering the parameters alone doesn't give us much help.
- ▶ We might come up with a characteristic for each, namely, "How does it compare with 0?", and partition the domain by asking "Is the parameter less than, equal to, or greater than 0?"

ISP criteria – functionality-based approach

- ▶ One attempt:

Partition the input domain using a geometric classification: do the parameters represent a triangle which is

ISP criteria – functionality-based approach

- ▶ One attempt:

Partition the input domain using a geometric classification: do the parameters represent a triangle which is

- ▶ scalene

ISP criteria – functionality-based approach

- ▶ One attempt:

Partition the input domain using a geometric classification: do the parameters represent a triangle which is

- ▶ scalene
- ▶ isosceles
- ▶ equilateral

ISP criteria – functionality-based approach

- ▶ One attempt:

Partition the input domain using a geometric classification: do the parameters represent a triangle which is

- ▶ scalene
- ▶ isosceles
- ▶ equilateral
- ▶ invalid

- ▶ What's the problem here?

ISP criteria – functionality-based approach

- ▶ We might then come up with some inputs which fall into each partition:

geometric type	input value
sca	(4,5,6)
iso	(3,3,4)
equ	(3,3,3)
inv	(3,4,8)

ISP criteria – functionality-based approach

- ▶ The guideline of “prefer more characteristics, with few partitions” on the other hand, suggests the following:

characteristic	partitions
is scalene	(T,F)
is isosceles	(T,F)
is equilateral	(T,F)
is invalid	(T,F)

ISP criteria – all combinations

- ▶ How many values should we choose?
- ▶ One possibility: “all combinations” (ACoC)
 - ▶ The number of tests would be
(no. of partitions for char. 1) * (no. of partitions for char. 2) *
...
- ▶ If we used the interface approach (partitioning each parameter by whether it is less than, equal to, or greater than 0) we get 3 blocks with 3 partitions, so the no. of tests is $3 * 3 * 3 = 27$ – Probably more than we would like.
- ▶ Using the functionality approach ...
 - ▶ We will end up with *constraints* which rule out some combinations. *If* a triangle is scalene, it follows it can't be isosceles, equilateral, or invalid
 - ▶ We'll end up with only 8 tests (much more tractable)

ISP criteria – all combinations

Suppose we have a method `myMethod(boolean a, int b, int c)`, and we partition the parameters as follows:

- ▶ the boolean into `true` and `false` (let's call these partitions T and F)
- ▶ parameter `b` into “> 0”, “< 0” and “equal to zero” (let's call these partitions LTZ, GTZ, and EQZ)
- ▶ parameter `c` into “even” and “odd” (let's call these EVEN and ODD).

ISP criteria – all combinations

Using the “all combinations” criterion, we’d need to write

$$|\{T, F\}| \times |\{LTZ, GTZ, EQZ\}| \times |\{EVEN, ODD\}|$$

$$= 2 \times 3 \times 2$$

$$= 12 \text{ tests.}$$

Often this will be far more than is feasible.

ISP criteria – base choice

- ▶ *Base choice* criteria recognize that some values are important – they make use of domain knowledge of the program.
- ▶ For each characteristic, we choose a *base choice* partition, and construct a *base* test by using all the base choice values.
- ▶ Then we construct subsequent tests by holding all but one base choice constant, and varying just *one* characteristic (using all the partitions for that characteristic)
- ▶ Number of tests is one base test + one test for each other partition:

$$1 + (|char_1| - 1) + (|char_2| - 1) \dots$$

ISP criteria – base choice

Considering our `myMethod(boolean a, int b, int c)` and the partitions we specified, if we made our base choices *T*, *GTZ* and *EVEN*, the required tests would be:

- ▶ (*T*, *GTZ*, *EVEN*)
- ▶ (*F*, *GTZ*, *EVEN*) (vary first parameter)
- ▶ (*T*, *LTZ*, *EVEN*) (vary second parameter)
- ▶ (*T*, *EQZ*, *EVEN*) (vary second parameter)
- ▶ (*T*, *GTZ*, *ODD*) (vary third parameter)

ISP criteria – multiple base choice

- ▶ Sometimes there are multiple plausible choices for a base choice.
- ▶ Multiple Base Choice (MBC):
One or more base choice blocks are chosen for each characteristic, and base tests are formed by using each base choice for each characteristic. Subsequent tests are chosen by holding all but one base choice constant for each base test and using each non-base choices in each other characteristic.
- ▶ e.g. For the interface-based approach to the triTyp method, we might decide both (2,2,2) and (1,1,1) are good base choices.

ISP criteria – multiple base choice

- ▶ Base choice (2,2,2):

(-1,2,2), (0,2,2)

(2,-1,2), (2,0,2)

(2,2,-1), (2,2,0)

- ▶ Base choice (1,1,1):

(-1,1,1), (0,1,1)

(1,-1,1), (1,0,1)

(1,1,-1), (1,1,0)

